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PATENT  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICANT: THOMAS WESPEL - PCT

MAY 01 2002

SERIAL NO.: 09/980,989 GROUP: 2131

Technology Center 2100

FILED: December 6, 2001

TITLE: METHOD AND DEVICE FOR CHANGEABLE DEFINING ACCESS  
RIGHTS TO COMPUTER FILES

REQUEST FOR CORRECTED FILING RECEIPT

ATTN:Office of Initial Patent Examination - Customer Service Center  
Ass't. Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Attached is a copy of the official filing receipt received from the Patent and Trademark Office in the above-identified application.

Under "Domestic Priority Data As Claimed By Applicant", "07/06/2000" should be --04/06/2000--. Under "Foreign Applications", --Germany 100 17 121.4, 4/6/2000-- should be added.

The Domestic Priority Date is incorrect and the Foreign Application is missing. This is evidenced by the Declaration, a copy of which is enclosed. The filing receipt should be corrected and issuance of a corrected filing receipt is respectfully requested. As this error was on the part of the PTO, no fee should be associated with this request.

Respectfully submitted,  
THOMAS WESPEL - PCT

COLLARD & ROE, P.C.  
1077 Northern Boulevard  
Roslyn, New York 11576  
(516) 365-9802

Allison C. Collard, Reg. No. 22,532  
Edward R. Freedman, Reg. No. 26,048  
Attorneys for Applicants

Enclosure: 1. Copy of Official Filing Receipt  
2. Copy of Declaration

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231, on March 7, 2002.

Lisa L. Vulpis



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/980,989	12/06/2001	2131	445	WESPEL (PCT)	1	10	1

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MAY 01 2002

Collard & Roe  
1077 Northern Boulevard  
Roslyn, NY 11576-1696

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CONFIRMATION NO. 3308

## FILING RECEIPT



\*OC000000007354339\*

Date Mailed: 01/29/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Thomas Wespel, Mietingen, GERMANY;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/DE00/01065 07/06/2000

## Foreign Applications

Germany 100 17 121 4 4/6/2000

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Method and device for changeable defining access rights to computer files

## Preliminary Class

713

**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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AUG-13-2001

1055

COLLARD &amp; ROE

516 365 9805

P.02/03

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY  
(Includes Reference to PCT International Application)

ATTORNEY  
WESPEL-PCT

I, the below named inventor, I hereby declare that:

My residence, ~~my~~ address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD AND APPARATUS FOR CHANGEABLE DEFINITION OF ACCESS RIGHTS  
TO COMPUTER FILES**

the specification of which (check only one item below):

- ☐ Is attached hereto.
- ☐ was filed as United States application  
Serial No. \_\_\_\_\_  
or \_\_\_\_\_  
and was amended  
on \_\_\_\_\_ (if applicable).
- ☒ was filed as PCT international application  
Number PCT/DE00/01065  
(iii) APRIL 6, 2000  
and was amended under PCT Article 19  
on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT International application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT International application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

**PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:**

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
GERMANY	100 17 121 4	6 APRIL 2000	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

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COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY  
(Includes Reference to PCT International Applications)

ATTORNEY'S FIRM NAME NUMBER  
WESPEL-PCT

I hereby claim the benefit under Title 35, United States Code, Section 119(c) of any United States provisional application(s) listed below:

(Application Number)

(Filing Date)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR  
BENEFIT UNDER 35 U.S.C. 120:

U.S. APPLICATIONS			STATUS (Check One)		
U.S. APPLICATION NUMBER	U.S. FILING DATE		PATENTED	PENDING	ABANDONED
PCT APPLICATIONS DESIGNATING THE U.S.					
PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBER ASSIGNED (if any)			

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number):

ALLISON C. COLLARD, Registration No. 22,532;

KURT KELMAN, Registration No. 18,628

EDWARD R. FREEDMAN, Registration No. 26,048;

WILLIAM C. COLLARD, Registration No. 38,411

ELIZABETH COLLARD RICHTER, Registration No. 35,103

FREDERICK J. DORCHAK, Registration No. 29,298

REINE H. GLANZ, Registration No. 46,728

Send Correspondence to:

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1077 Northern Boulevard  
Roslyn, New York 11576

Customer No. 25889

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(name and telephone number)  
(516) 365-9802

2	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
		WESPEL	THOMAS	
0	RESIDENT'S & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		MIETTNGEN	GERMANY	GERMANY
1	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
		UBEKER WEG 22	D-88487 MIETTINGEN	GERMANY

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201



DATE

18.10.2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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Collard & Roe  
1077 Northern Boulevard  
Roslyn, NY 11576-1696

CONFIRMATION NO. 3308

## CORRECTED FILING RECEIPT



\*OC000000008097706\*

Date Mailed: 05/13/2002

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## Applicant(s)

Thomas Wespel, Mietingen, GERMANY;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/DE00/01065 04/06/2000

## Foreign Applications

GERMANY 100 17 121.4 04/06/2000

If Required, Foreign Filing License Granted 05/12/2002

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

## Title

Method and device for changeable defining access rights to computer files

## Preliminary Class

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